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Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	304-25098-USCP
First Inventor	James B. Crews
Title	Polyols for Breaking of Fracturing Fluids
Express Mail Label No.	EV322405310US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 41]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 12 ]

5. Oath or Declaration [ Total Pages 3 ]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	24923 (Insert Customer No. or Attach Bar code label here)	or	<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	David L. Mossman	Registration No. (Attorney/Agent)	29,570
Signature	7/25/2003		
	Date	07-25-2003	

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# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1882.00)

## Complaint if Known

Application Number	
Filing Date	
First Named Inventor	James B. Crews
Examiner Name	
Art Unit	
Attorney Docket No.	304-25098-USCP

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

02-0429

Deposit Account Number  
Baker Hughes Incorporated

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	750.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		(\$ 750.00)	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
62	7		-20** = 42	x 18	= 756
			- 3** = 4	x 84	= 336

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		(\$ 1092.00)

\*\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES		Fee Description	Fee Paid
Large Entity	Small Entity	Fee Code (\$)	Fee Description
		1051 130	2051 65 Surcharge - late filing fee or oath
		1052 50	2052 25 Surcharge - late provisional filing fee or cover sheet
		1053 130	1053 130 Non-English specification
		1812 2,520	1812 2,520 For filing a request for ex parte reexamination
		1804 920*	1804 920* Requesting publication of SIR prior to Examiner action
		1805 1,840*	1805 1,840* Requesting publication of SIR after Examiner action
		1251 110	2251 55 Extension for reply within first month
		1252 410	2252 205 Extension for reply within second month
		1253 930	2253 465 Extension for reply within third month
		1254 1,450	2254 725 Extension for reply within fourth month
		1255 1,970	2255 985 Extension for reply within fifth month
		1401 320	2401 160 Notice of Appeal
		1402 320	2402 160 Filing a brief in support of an appeal
		1403 280	2403 140 Request for oral hearing
		1451 1,510	1451 1,510 Petition to institute a public use proceeding
		1452 110	2452 55 Petition to revive - unavoidable
		1453 1,300	2453 650 Petition to revive - unintentional
		1501 1,300	2501 650 Utility issue fee (or reissue)
		1502 470	2502 235 Design issue fee
		1503 630	2503 315 Plant issue fee
		1460 130	1460 130 Petitions to the Commissioner
		1807 50	1807 50 Processing fee under 37 CFR 1.17(q)
		1806 180	1806 180 Submission of Information Disclosure Stmt
		8021 40	8021 40 Recording each patent assignment per property (times number of properties)
		1809 750	2809 375 Filing a submission after final rejection (37 CFR 1.129(a))
		1810 750	2810 375 For each additional invention to be examined (37 CFR 1.129(b))
		1801 750	2801 375 Request for Continued Examination (RCE)
		1802 900	1802 900 Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

(Complete if applicable)

Name (Print/Type)	David L. Mossman	Registration No. (Attorney/Agent)	29,570	Telephone 512/219-4026
Signature	David L. Mossman 7-25-2003			Date 07/25/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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